

## FROI/SROI Valid Code Values

### WCIS FROI/SROI Training Bulletin No.5

**Date: May 19, 2014**

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In an effort to reduce the percentage of transactions receiving TRs and TEs, DWC periodically issues training bulletins outlining some of the most common errors. An archive of the bulletins will be available on the [WCIS website](#).

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#### **DWC has published a Valid Code Values Table on its website.**

In the first quarter of 2014, 75 percent of trading partners sent in at least one transaction with a missing or invalid code. As a result, DWC is publishing a [Valid Values Table](#) on the [WCIS website](#) to reduce the number of errors for missing or invalid data.

Unless otherwise indicated, the [California EDI Implementation Guide for FROI/SROI, version 3.0](#) requires that trading partners submit valid values using only IAIABC codes for data elements with Mandatory/Fatal (M/F), Mandatory/Serious (M/S), Conditional/Fatal (C/F), or Conditional/Serious (C/S) requirements.

The exceptions in FROI/SROI reporting to the IAIABC valid values list are:

- Claim Administrator Postal Code (DN014), Employer Postal Code (DN023), and Postal Code of Injury Site (DN033) must be valid [United States postal codes](#).
- Nature of Injury Code (DN035), Part of Body Injured Code (DN036), Cause of Injury Code (DN037), and Permanent Impairment Body Part Code (DN083) must be valid [Workers Compensation Insurance Organization \(WCIO\) codes](#).
- Industry Code (DN025) must be valid [NAICS Code](#) or [SIC Code](#). [Training Bulletin 2](#) details how to properly submit NAICS and SIC Industry Codes.
- Class Code (DN059) must be valid [WCIRB Class Codes](#). [Training Bulletin 4](#) details how to properly submit a WCIRB Class Codes.

If a trading partner submits a FROI or SROI transaction that does not contain the required valid values, the trading partner will receive one of the following errors in their acknowledgement file:

**Error**

<b>Code</b>	<b>Error Description</b>
001	Mandatory field not present
003	MTC invalid for '148'
004	MTC invalid for 'A49'
006	WCIO Nature Code Invalid
007	WCIO Part of Body Code Invalid
008	WCIO Cause of Injury Code Invalid
009	Gender Code Invalid
011	Wage Period Code Invalid
012	Indicator Invalid
014	Class Code (WCIRB) Invalid
015	Industry Code (SIC or NAICS) Invalid
017	Claim Status Code Invalid
020	Return to Work Qualifier Code Invalid
024	Payment/Adjustment Code Invalid
025	Benefit/Adjustment Code Invalid
026	PTD/RE/Recovery Code Invalid
032	Must be valid on Zip Code Table
058	Code/ID Invalid

**Best Practices for Trading Partners to Avoid Unnecessary TRs and TEs:**

- Prior to submitting or re-submitting any data to WCIS, internally validate that all required data elements contain data and that the code value is accurate according to the posted [Valid Values Table](#).
- Before contacting your EDI contact about an error for missing or invalid data, confirm that a valid value was sent in the EDI data file. If you are unable to view the code in the EDI file, contact your EDI system person or your EDI vendor for assistance.
- If it has been verified that a valid value was sent in the EDI data, provide the claim number, transmission date and code value to your WCIS contact person so that the error can be researched.

Trading Partners who would like assistance with resolving TRs and TEs for invalid class codes, or any other WCIS EDI question, may contact their designated trading partner contact.

## **WCIS trading partner contacts:**

Trading partner letters A, I-L, S-V, Z

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Trading partner letters B, D-F, N, O, W-Y

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Trading partner letters C, G, H, M, P-R

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If trading partners have comments about this training bulletin or would like to suggest topics for future training bulletins, they can do so by e-mailing [wcis@dir.ca.gov](mailto:wcis@dir.ca.gov).

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**Contents** of this bulletin do not change existing regulatory requirements; they provide additional or revised detail about the technical implementation of those requirements. All technical changes will subsequently be reflected in other WCIS documentation. Any bulletin can be forwarded as needed, but should be forwarded in its entirety and without modification. If you have received a forwarded copy of this bulletin and are concerned about its authenticity, you can view this and previous bulletins on our WCIS web site: <http://www.dir.ca.gov/dwc/wcis.htm>.

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